



Oklahoma State University (OSU)

Date: _____

RESEARCH

Post Approval Monitoring Checklist

Principal Investigator (PI):		
Department:		IACUC Protocol #
Protocol Title:		
Person Completing Review:		
Type of Study <i>check all that apply</i>	☐ Teaching ☐ Biomedical ☐ Research ☐ Breeding ☐ Other: _____ ☐ Agricultural	
Total # Animals	Approved: _____	Received to date: _____
Key Protocol Contact(s)		

GENERAL COMPLIANCE

Protocol and Personnel		YES	NO	N/A
	Do protocol personnel have access to the most recent version of the approved protocol, including modifications?			
	Have all protocol personnel enrolled in OHSP? Have they completed the required CITI training?			
	Are the people performing the study listed as protocol personnel in the protocol?			
	Personnel are trained on how to report an animal welfare concern and appropriate signage is in place.			
	Disaster Plan is available, and all personnel have been trained on said disaster protocol.			
	Do shared laboratory spaces have signage to notify all users of animal work or pathogens?			
	Animal numbers are consistent with those stated in the ACUP.			
Study Procedures		YES	NO	N/A
	Animals are properly identified (by cage card, collar, ear tag, etc.).			
	Are personnel wearing PPE and/or other attire (e.g., masks & gloves) appropriate for the species and procedures performed?			

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	Animals are housed, with appropriate enrichments included, in a manner consistent with the ACUP(s), SOPs, and other documentation if applicable.			
	Animal procedures and housing areas are neat, organized, and clean.			
	Environmental conditions are maintained, measured and documented according to the Guide, the Ag Guide, and the ACUP.			
	The UAV is notified within 24 hours when animals require veterinary care, when there is an adverse event or if an unexpected death occurs.			
Anesthesia		YES	NO	N/A
	Are anesthetized animals monitored in accordance with the protocol and IACUC policies and guidelines?			
	Are inhalant anesthetics scavenged properly to prevent occupational exposure? Are charcoal canisters in date?			
	Are anesthetic machines serviced and calibrated?			
	Methods of anesthesia and the administration of analgesics were consistent with those described in the ACUP.			
Surgery		YES	NO	N/A
	Is surgery performed in a location that has been approved by the IACUC?			
	Is there an up-to-date and complete surgical log?			
	Is survival surgery performed using sterile instruments, sterile gloves, a surgery mask, and aseptic technique within a dedicated surgical suite?			
	Sharps containers are present, not overfilled and do not contain re-capped needles.			
	For multiple animal surgeries, is a method of sterilization used in-between animals?			
	Is there an appropriate recovery area for the animals?			
Euthanasia		YES	NO	N/A
	Is death ensured by performing a secondary, appropriate physical method of euthanasia, when required?			
	Is the method of euthanasia consistent as described in the ACUP?			
General Record Keeping		YES	NO	N/A
	Are husbandry records accurate and up to date? Is there a daily log?			
	Are animals identified by protocol number and individual numbers or cage cards?			
	Are medical and post-procedural care progress notes complete and accurate?			
	Is medication administration accurately documented?			



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	Are injections, blood collection, and fluid collection amounts dated and documented?			
	Signed "Owner Informed Consent" forms are on file for all privately owned animals used.			
Controlled Substances		YES	NO	N/A
	Are drugs and other materials used before reaching the manufacturer's expiration date and stored according to manufacturer's recommendations?			
	Are there any safety issues or other concerns that pose a threat to human or animal safety and welfare?			
Animal Handling		YES	NO	N/A
	Methods of handling/restraint/sedation described in the ACUP were used.			
	For wildlife, samples were collected, and animals were released as described in the ACUP.			
	Animals are transported in accordance with OSU IACUC Policy 012, which includes inspection of personally owned vehicles.			

UNANTICIPATED OUTCOMES, PROTOCOL DEVIATIONS AND ADVERSE EVENTS

If there have been no unexpected outcomes or adverse events, check here ☐

			YES	NO
	Have all Unexpected Outcomes, Protocol Deviations and Adverse Events been reported to the IACUC?		☐	☐
	Summary of Event(s)	Date of Event(s)	Date of Report to IACUC	

NOTES AND COMMENTS
